

01-07-02

A

<b>UTILITY</b> <b>PATENT APPLICATION</b> <b>TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>	Attorney Docket No.	SP00-358	Total Pages	2
	First Named Inventor or Application Identifier   V. Srikant			
	Title : FIBER PROFILE FOR ACHIEVING VERY HIGH DISPERSION SLOPE			
	Express Mail Label No.	EL689101145US		

JC952

**CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:**  
 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

on 9 Nov 01  
 (Date)

Signature William J. Chervenak

"EXPRESS MAIL" Mailing Label No EL689101145US

Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

10/03/2001  
 10/03/2001

1. <input checked="" type="checkbox"/> * Fee Transmittal Form <i>(Submit an original and a duplicate for fee processing)</i>	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i>	6. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>
<ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R&amp;D</li> <li>- Reference to Microfiche Appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>	
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. § 113)	[Total Sheets] <span style="border: 1px solid black; padding: 2px;">7</span>
4. Oath or Declaration	[Total Pages] <span style="border: 1px solid black; padding: 2px;">2</span>
a. <input checked="" type="checkbox"/> Executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <i>(for continuation/divisional with Box 16 completed)</i>	
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	
7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input checked="" type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> 9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(should be specifically itemized)</i> 13. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 14. <input type="checkbox"/> Other:	

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation  Divisional.  Continuation-in-part (CIP) of prior application No

Prior application information: Examiner: Group / Art Unit:

For **CONTINUATION or DIVISIONAL APPS only**: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

#### 17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 22928 or  Correspondence address below

NAME	William J. Chervenak				
ADDRESS	Corning Incorporated, SP-TI-3-1				
CITY	Corning	STATE	NY	ZIP CODE	14831
COUNTRY	USA	TELEPHONE	3956	FAX	(607) 974-3848
Name (Print/Type)	William J. Chervenak	Registration No. (Attorney/Agent)			38,407
Signature	<u>William J. Chervenak</u>		Date	9 Nov 01	

# FEE TRANSMITTAL for FY 2001

Complete if Known	
Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Srikant, V.
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned

U.S. PRO  
JC912 10/09/01  
10/09/01

**TOTAL AMOUNT OF PAYMENT** **(\$)**1,022.00

Attorney Docket Number

SP00-358

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number  
03-3325

Deposit Account Name  
Corning Incorporated

Charge Any Additional Fees Required  
Under 37 C.F.R. §§ 1.16 and 1.17

2.  Payment Enclosed:

Check  Money Order  Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740		Utility filing fee	740.00
106	330		Design filing fee	
107	510		Plant filing fee	
108	740		Reissue filing fee	
114	160		Provisional filing fee	

**SUBTOTAL (1)** **(\$)**740.00

### 2. EXTRA CLAIM FEES

Extra Fee from  
Claims below  
Fee Paid  
Total Claims 31-20\*\* = 11 x 18 = 198.00

Independent Claims 5 - 3\*\* = 0 x 84 = 84.00

Multiple Dependent 0 = 0.00

\*\*or number previously paid, if greater. For Reissues, see below

Large Entity	Fee Code	Fee (\$)	Fee Description
103	18		Claims in excess of 20
102	84		Independent claims in excess of 3
104	280		Multiple dependent claim, if not paid
109	84		** Reissue independent claims over original patent
110	18		** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** **(\$)**282.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130		Surcharge - late filing fee or oath	
127	50		Surcharge - late provisional filing fee or cover sheet	
139	130		Non-English specification	
147	2,520		For filing a request for reexamination	
112	920*		Requesting publication of SIR prior to Examiner action	
113	1,840		Requesting publication of SIR after Examiner action	
115	110		Extension for reply within first month	
116	390		Extension for reply within second month	
117	890		Extension for reply within third month	
118	1,390		Extension for reply within fourth month	
128	1,890		Extension for reply within fifth month	
119	310		Notice of Appeal	
120	310		Filing a brief in support of an appeal	
121	270		Request for oral hearing	
138	1,510		Petition to institute a public use proceeding	
140	110		Petition to revive - unavoidable	
141	1,240		Petition to revive - unintentional	
142	1,240		Utility issue fee (or reissue)	
143	440		Design issue fee	
144	600		Plant issue fee	
122	130		Petitions to the Commissioner	
123	50		Petitions related to provisional applications	
126	240		Submission of Information Disclosure Stmt	
581	40		Recording each patent assignment per property (times number of properties) _____ x	
146	710		Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
149	710		For each additional invention to be examined (37 C.F.R. § 1.129(b))	
Other fee (specify) _____				
Other fee (specify) _____				

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** **(\$)**

## SUBMITTED BY

## Completed (if applicable)

Name (Print/Type) William J. Chervenak Registration No. (Attorney/Agent) 38,407

Signature *William J. Chervenak* Date 9 Nov 01